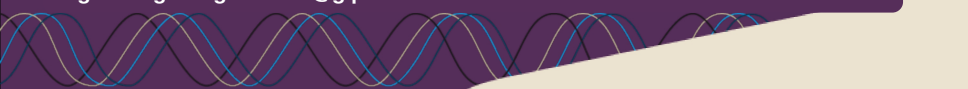


# Detecting galaxies from the Multi Unit Spectroscopic Explorer (MUSE) by means of robust hyperspectral anomaly detectors

*Rencontres d'Astrophysique 2014*

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UNIVERSITÉ DE GRENOBLE



**gipsa-lab**

Grenoble | images | parole | signal | automatique | laboratoire

- > Study of robust M-estimators for hyperspectral applications.
- > One year postdoc in GIPSA-lab with Prof. Jocelyn Chanussot (DGA contract).
- > Collaboration with :
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- > Proposals :
  - **Statistical : robust M-estimators.**
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  - Hyperspectral Adaptive RX AD
  - Issues and proposals
- 2 Robust estimation
  - Elliptical distributions
  - Fixed Point (FP) estimator
- 3 Experiments with the MUSE dataset
- 4 Summary





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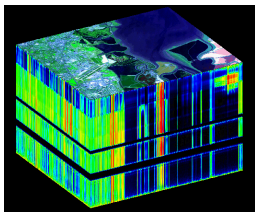


FIGURE : Hyperspectral cube.

- > Optical data.
- > Hundreds of contiguous high-resolution spectral bands.
- > Physical quantities : radiance, reflectance.
- > **High-dimensionality and high between-bands correlation.**

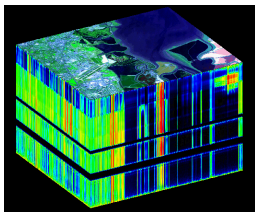


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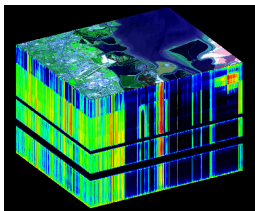


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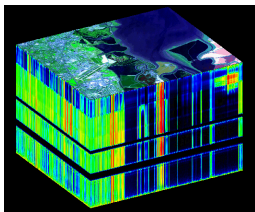


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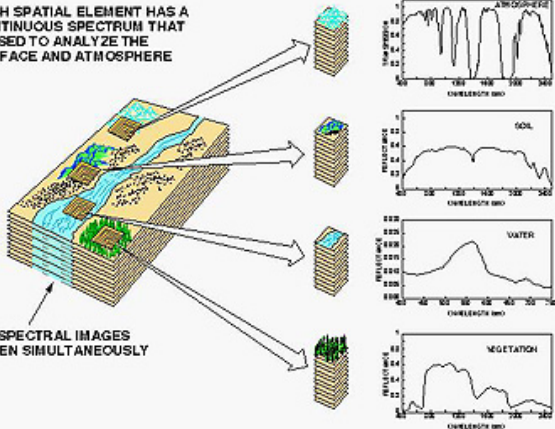
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JPL

## AVIRIS CONCEPT

EACH SPATIAL ELEMENT HAS A CONTINUOUS SPECTRUM THAT IS USED TO ANALYZE THE SURFACE AND ATMOSPHERE

224 SPECTRAL IMAGES TAKEN SIMULTANEOUSLY



- > **Goal : locate objects in the image that are anomalous with respect to the background.**
- > Statistical target detection is based on the Neyman-Pearson (NP) criterion → maximize the probability of detection for a given probability of false alarm.
- > Very arbitrary definition → they cannot distinguish between true targets and detections of bright pixels of the background or targets that are not of interest.
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- > **Anomalies are defined with reference to a model of the background.**
- > Most of AD methods rely on the classical Gaussian distribution assumption and need for the statistical characterization of the background.
- > Adaptive AD → estimate the background statistics using reference (a.k.a. secondary) data :
  - Using all pixels in the image.
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- > **Considered the baseline AD for hyperspectral data.**
- > The RX AD was derived from the Generalized Likelihood Ratio Test (GLRT) assuming Gaussian hypothesis [1].

$$\begin{cases} \mathcal{H}_0 : \mathbf{y} = \mathbf{b} \\ \mathcal{H}_1 : \mathbf{y} = \mathbf{s} + \mathbf{b} \end{cases}, \quad (1)$$

where  $\mathbf{b}$  represents the background and  $\mathbf{s}$  denotes the presence of an anomalous signal.

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- > Statistical characterization of the background :

$$\mathbf{b} \sim \mathcal{N}(\boldsymbol{\mu}, \boldsymbol{\Sigma}). \quad (2)$$

- > Sample estimation of the statistical parameters using secondary data,  $\mathbf{y}_1, \dots, \mathbf{y}_L$  :

$$\hat{\boldsymbol{\mu}}_{\text{SMV}} = \frac{1}{L} \sum_{l=1}^L \mathbf{y}_l, \quad (3)$$

$$\hat{\boldsymbol{\Sigma}}_{\text{SCM}} = \frac{1}{L} \sum_{l=1}^L (\mathbf{y}_l - \hat{\boldsymbol{\mu}}_{\text{SMV}}) (\mathbf{y}_l - \hat{\boldsymbol{\mu}}_{\text{SMV}})^T. \quad (4)$$



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- > GLRT solution to the Adaptive RX AD :

$$\Lambda_{\text{ARX}} = (\mathbf{y}_l - \hat{\boldsymbol{\mu}}_{\text{SMV}})^T \hat{\boldsymbol{\Sigma}}_{\text{SCM}}^{-1} (\mathbf{y}_l - \hat{\boldsymbol{\mu}}_{\text{SMV}}) \underset{\mathcal{H}_0}{\overset{\mathcal{H}_1}{\gtrless}} \lambda. \quad (5)$$

- > Assuming the null hypothesis is correct :

$$\frac{L - m + 1}{mL} \Lambda_{\text{ARX}} \sim F_{m, L-m+1}. \quad (6)$$

- > For high values of  $L$ , ( $L > 10m$ ), it can be approximated by a  $\chi^2$ -distribution.



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- > The actual distribution of the background pixels differs from the theoretically predicted under Gaussian hypothesis.
- > The empirical distribution usually has heavier tails compared to the Gaussian distribution [2].
- > These tails strongly influence the observed false-alarm rate of the detector.
- > **Proposal : characterize the background statistics by the class of Elliptical distributions.**

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- > the classical Gaussian-based estimators do not provide optimal performance due to heavy tails.
- > **Proposal : Fixed Point (FP) robust estimators (also known as Tyler's estimators [3]).**
- > FP estimates can be used as plug-in estimators in place of the unknowns mean vector and covariance matrix in the detection scheme.
- > Simple but often efficient method to obtain robust properties for signal processors derived under the Gaussian assumption.

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- > Conventional approach : using sliding windows.

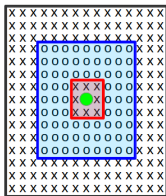


FIGURE : Selection of the secondary data by means of sliding windows.

- > Outer window (blue) : delimits the pixels used as secondary data.
- > Guard window (red) : prevents possible anomalous pixels to be selected as secondary data.

- > Local strategy provides more realistic scenario for the background characterization, but :
  - It can be sensitive to the presence of false alarms due to isolated anomalies.
  - Background should be uni-modal.
- > Need of a size trade-off :
  - Increasing size : higher number of secondary data.
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- > **Hyperspectral data have been proven not to be multivariate normal but long tailed distributed.**
- > The class of elliptical distributions is considered to describe clutter statistical behavior.
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- > Formalization of the elliptical distribution :

$$f_{\mathbf{X}}(\mathbf{x}) = c_{m,h} |\Sigma|^{-\frac{1}{2}} h_m \left( \frac{1}{2} (\mathbf{x} - \boldsymbol{\mu})^T \Sigma^{-1} (\mathbf{x} - \boldsymbol{\mu}) \right), \quad (7)$$

- >  $c_{m,h}$  is a normalization constant.
- >  $h_m(\cdot)$  is any function (*density generator*) such that  $f_{\mathbf{X}}(\mathbf{x})$  defines a p.d.f.  $\rightarrow$  assumed to be only approximately known.
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# Elliptical distributions (III)

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- > Thus, the level sets of the density are ellipsoids in the Euclidean  $m$ -space.
- > **If the second-order moment exists, then  $\boldsymbol{\Sigma}$  reflects the structure of the covariance matrix of the elliptically distributed random vector  $\mathbf{x}$ , i.e. the covariance matrix is equal to the scatter matrix up to a scalar constant.**
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- > The FP estimates have been widely investigated in statistics and signal processing literature.
- > These estimators belong to the wider class of robust  $M$ -estimators.
- >  $\Sigma_{\text{FP}}$  and  $\Sigma_{\text{SCM}}$  have the same asymptotic Gaussian distribution which differs on their second order moment by a factor of  $\frac{m+1}{m} L$ .
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> FP estimates :

$$\hat{\boldsymbol{\mu}}_{\text{FP}} = \frac{\sum_{l=1}^L \frac{\mathbf{x}_i}{\left( (\mathbf{x}_i - \hat{\boldsymbol{\mu}}_{\text{FP}})^T \hat{\boldsymbol{\Sigma}}_{\text{FP}}^{-1} (\mathbf{x}_i - \hat{\boldsymbol{\mu}}_{\text{FP}}) \right)^{1/2}}}{\sum_{l=1}^L \frac{1}{\left( (\mathbf{x}_i - \hat{\boldsymbol{\mu}}_{\text{FP}})^T \hat{\boldsymbol{\Sigma}}_{\text{FP}}^{-1} (\mathbf{x}_i - \hat{\boldsymbol{\mu}}_{\text{FP}}) \right)^{1/2}}} \quad (8)$$

$$\hat{\boldsymbol{\Sigma}}_{\text{FP}} = \frac{m}{L} \sum_{l=1}^L \frac{(\mathbf{x}_i - \hat{\boldsymbol{\mu}}_{\text{FP}}) (\mathbf{x}_i - \hat{\boldsymbol{\mu}}_{\text{FP}})^T}{\left( (\mathbf{x}_i - \hat{\boldsymbol{\mu}}_{\text{FP}})^T \hat{\boldsymbol{\Sigma}}_{\text{FP}}^{-1} (\mathbf{x}_i - \hat{\boldsymbol{\mu}}_{\text{FP}}) \right)} \quad (9)$$

> Alternate iterative process.



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- > The Multi Unit Spectroscopic Explorer (MUSE) project aims to provide astronomers with a new generation of optical instrument, capable of simultaneously imaging the sky (in 2D) and measuring the optical spectra of the light received at a given position on the sky.
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- > MUSE will deliver a 3D data-cube made of a stack of images recorded at 3578 different wavelengths over the range 465 – 930 nm.
- > Each monochromatic image represents a field of view of  $60 \times 60$  arcsec, recorded with a spatial sampling of 0.2 arcsec.
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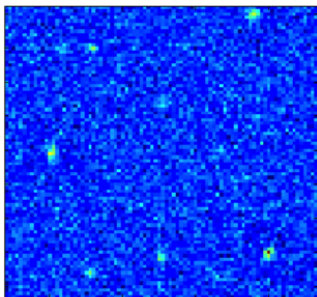




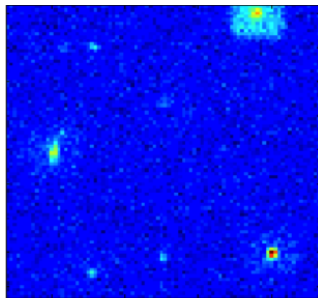
(c) MUSE data cube

# Results

- > From the 3578 available bands, we have chosen one band of each 100.
- > The results for anomaly detection are presented for a fixed probability of false alarm,  $P_{FA} = 10^{-3}$ .
- > Note that detection with FP estimators provides results with lower false alarm rate than classical ones.



SMV-SCM



FP estimates



- 1 Hyperspectral anomaly detectors (AD)
  - Hyperspectral data
  - Hyperspectral Adaptive RX AD
  - Issues and proposals
- 2 Robust estimation
  - Elliptical distributions
  - Fixed Point (FP) estimator
- 3 Experiments with the MUSE dataset
- 4 Summary



- > Hyperspectral AD are usually based on Gaussian assumptions → not realistic (heavy tailed distributions).
- > Conventional SMV and SCM estimators are not optimal with heavy tailed distributions.
- > Proposal : use FP estimators → they work as plug-in estimators.
- > Experimental results with MUSE synthetic data → galaxy detection.



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Detecting galaxies from the Multi Unit Spectroscopic Explorer (MUSE) by means of robust hyperspectral anomaly detectors

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